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|--|---|--|--|---|--|-----|
| <b>SCC eFile</b>   | <b>2012 ANNUAL REPORT</b><br><b>COMMONWEALTH OF VIRGINIA</b><br><b>STATE CORPORATION COMMISSION</b> | <b>212535509</b>                             |  |   |  |     |
| <div style="display: flex; justify-content: space-between;"> <div style="width: 60%;"> <p>1.) CORPORATION NAME:<br/> <b>First Southwest Company</b></p> <p>2.) VA REGISTERED AGENT NAME AND OFFICE ADDRESS:<br/> <b>CAPITOL CORPORATE SERVICES INC</b><br/> <b>10 S JEFFERSON ST STE 1400</b><br/> <b>ROANOKE, VA 24011</b></p> <p>3.) CITY OR COUNTY OF VA REGISTERED OFFICE:<br/> <b>ROANOKE CITY</b></p> <p>4.) STATE OR COUNTRY OF INCORPORATION:<br/> <b>DE</b></p> </div> <div style="width: 35%;"> <p>DUE DATE: <b>9/30/2012</b></p> <p>SCC ID NO: <b>F1599259</b></p> <p>5.) STOCK INFORMATION</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">CLASS</td> <td style="width: 50%;">AUTHORIZED</td> </tr> <tr> <td>COMMON</td> <td>500</td> </tr> </table> </div> </div> |   |  | CLASS  | AUTHORIZED                                  | COMMON                                       | 500 |
| CLASS  | AUTHORIZED  |  |  |   |  |     |
| COMMON   | 500   |  |  |   |  |     |
| <p>6.) PRINCIPAL OFFICE ADDRESS:</p> <p style="text-align: center;">ADDRESS: 325 NORTH SAINT PAUL STREET, STE 800</p> <p style="text-align: center;">CITY/ST/ZIP: DALLAS, TX 75201</p>   |   |  |  |   |  |     |
| <p>7.) DIRECTORS AND PRINCIPAL OFFICERS:      All directors and principal officers must be listed. An individual may be designated as both a director and an officer.</p>  |   |  |  |   |  |     |
| <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 55%; vertical-align: top;"> NAME: HILL A FEINBERG<br/> TITLE: PRESIDENT<br/> ADDRESS: 325 N SAINT PAUL ST<br/> STE 800<br/> CITY/ST/ZIP/CO: DALLAS, TX 75201 </td> <td style="width: 10%; text-align: center; vertical-align: top;"> <input checked="" type="checkbox"/> OFFICER </td> <td style="width: 35%; text-align: center; vertical-align: top;"> <input checked="" type="checkbox"/> DIRECTOR </td> </tr> </table>  |   |  | NAME: HILL A FEINBERG<br>TITLE: PRESIDENT<br>ADDRESS: 325 N SAINT PAUL ST<br>STE 800<br>CITY/ST/ZIP/CO: DALLAS, TX 75201   | <input checked="" type="checkbox"/> OFFICER | <input checked="" type="checkbox"/> DIRECTOR |     |
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|   |   |                                  |  |
|---|---|----------------------------------|--|
| NAME:<br>TITLE:<br>ADDRESS:<br>CITY/ST/ZIP/CO:  | MICHAEL G BARTOLOTTA<br>DIRECTOR<br>700 MILAM ST<br>STE 500<br>HOUSTON, TX 77002              | <input type="checkbox"/> OFFICER | <input checked="" type="checkbox"/> DIRECTOR |
| NAME:<br>TITLE:<br>ADDRESS:<br>CITY/ST/ZIP/CO:  | ANNE BURGER ENTREKIN<br>DIRECTOR<br>70 NORTHEAST LOOP 410<br>STE 710<br>SAN ANTONIO, TX 78216 | <input type="checkbox"/> OFFICER | <input checked="" type="checkbox"/> DIRECTOR |
| NAME:<br>TITLE:<br>ADDRESS:<br>CITY/ST/ZIP/CO:  | MAUREEN E GURGHIGIAN<br>DIRECTOR<br>12 BREAKNECK HILL RD<br>STE 200<br>LINCOLN, RI 02865      | <input type="checkbox"/> OFFICER | <input checked="" type="checkbox"/> DIRECTOR |
| NAME:<br>TITLE:<br>ADDRESS:<br>CITY/ST/ZIP/CO:  | MICHAEL J MARZ<br>DIRECTOR<br>325 N SAINT PAUL ST<br>STE 800<br>DALLAS, TX 75201              | <input type="checkbox"/> OFFICER | <input checked="" type="checkbox"/> DIRECTOR |
| NAME:<br>TITLE:<br>ADDRESS:<br>CITY/ST/ZIP/CO:  | DREW K MASTERSON<br>DIRECTOR<br>700 MILAM ST<br>STE 500<br>HOUSTON, TX 77002                  | <input type="checkbox"/> OFFICER | <input checked="" type="checkbox"/> DIRECTOR |
| NAME:<br>TITLE:<br>ADDRESS:<br>CITY/ST/ZIP/CO:  | DAVID K MEDANICH<br>DIRECTOR<br>777 MAIN ST<br>STE 76102<br>FORT WORTH, TX 76102              | <input type="checkbox"/> OFFICER | <input checked="" type="checkbox"/> DIRECTOR |
| NAME:<br>TITLE:<br>ADDRESS:<br>CITY/ST/ZIP/CO:  | JOHN R MUSCHALEK<br>DIRECTOR<br>325 N SAINT PAUL ST<br>STE 800<br>DALLAS, TX 75201            | <input type="checkbox"/> OFFICER | <input checked="" type="checkbox"/> DIRECTOR |
| NAME:<br>TITLE:<br>ADDRESS:<br>CITY/ST/ZIP/CO:  | WAYNE B PLACIDE<br>DIRECTOR<br>325 N SAINT PAUL ST<br>STE 800<br>DALLAS, TX 75201             | <input type="checkbox"/> OFFICER | <input checked="" type="checkbox"/> DIRECTOR |
| NAME:<br>TITLE:<br>ADDRESS:<br>CITY/ST/ZIP/CO:  | HENRY A SAUER, JR<br>DIRECTOR<br>700 MILAM ST<br>STE 500<br>HOUSTON, TX 77002                 | <input type="checkbox"/> OFFICER | <input checked="" type="checkbox"/> DIRECTOR |
| I AFFIRM THAT THE INFORMATION CONTAINED IN THIS ELECTRONIC REPORT IS ACCURATE AND COMPLETE AS OF THE DATE BELOW AND THAT I AM LEGALLY AUTHORIZED TO SIGN THIS REPORT. |   |                                  |  |
| /s/ DAVID A COMMONS   |   | DAVID A COMMONS, CFO             |  |
| SIGNATURE OF DIRECTOR/OFFICER LISTED IN THIS REPORT   |   | PRINTED NAME AND CORPORATE TITLE |  |
|   |   | 9/17/2012                        |  |
|   |   | DATE                             |  |

It is a Class 1 misdemeanor for any person to sign a document, which includes this electronic record, that is false in any material respect with the intent that the document be delivered to the Commission for filing.